

Article

Polyvinylpyrrolidone-Stabilized Iridium Nanoparticles Catalyzed the Transfer Hydrogenation of Nitrobenzene Using Formic Acid as the Source of Hydrogen

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Abstract: Catalytic nitrobenzene reduction is crucial for the synthesis of 4,4-methylene diphenyl diisocyanate, which is used to produce polyurethane foams, thermoplastic elastomers, and adhesives. The stability and activity of nanoparticle catalysts are affected by surface ligands and stabilizers. We established the complete composition of 7.0 \pm 1.1 nm iridium oxide nanoparticles that were stabilized by polyvinylpyrrolidone (**PVP[Ir]**). **PVP[Ir]** and its surface stabilizers were characterized using elemental analysis (EA), high-resolution X-ray photoelectron spectroscopy (XPS), powder X-ray diffraction (PXRD), FT-IR, and UV-vis spectroscopy. Notably, **PVP[Ir]** contained 33.8 \pm 0.4% Ir. XPS binding energy analyses suggest that 7% of the Ir is Ir(0) and 93% is IrO₂. Using formic acid as the source of hydrogen, **PVP[Ir]** catalyzed the selective hydrogenation of nitrobenzene to give aniline as the only product in 66% yield in 1 h at 160 °C in a high-pressure metal reactor. Less than 1% of the side products (azobenzene and azoxybenzene) were detected. In contrast, using alcohol as the hydrogen source led to a low yield and a poor selectivity for aniline.

Keywords: iridium oxide nanoparticles; transfer hydrogenation; nitrobenzene reduction; catalysis; formic acid; alcohol; characterizations of nanoparticles

1. Introduction

Aniline is made in the industry primarily by the catalytic hydrogenation of nitrobenzene [1]. The vast majority of aniline is used as the precursor to 4,4-methylene diphenyl diisocyanate (MDI) [1]. In 2008, the demand for pure and polymeric MDI in the United States was 1.61 million metric tons [2]. MDI is used to manufacture polyurethane foams, thermoplastic elastomers, and adhesives [1,3]. Aniline is also a versatile reagent in organic synthesis via the Sandmeyer reaction [4]. Aniline and glycerol can undergo a Skraup condensation–cyclization reaction to form quinoline with nitrobenzene as the hydrogen acceptor [1,4].

The conventional approach to convert nitrobenzene to aniline via catalytic hydrogenation using Pd/C and H₂ is a notable safety hazard [5,6]. Pd/C is a pyrophoric material that can spontaneously spark in air and ignite methanol or filter paper [5–7], and H₂ is explosive [8,9]. In addition, the catalyst loading of Pd/C is typically high: 5% or more in Pd [5,6]. H₂ is mostly produced from the energy-intensive catalytic steam reforming of methane at 700–850 °C [10]. Therefore, alternative approaches that are safe and sustainable are preferred.

Modern synthetic methods for the catalytic hydrogenation of nitrobenzene have reduced the risk of combustion and Pd loading. Palladium(II) acetate was used as a safe precursor to generate Pd/C in situ [11]. Pd nanoparticles (NPs) embedded in an organically modified silica support were



an active and safe catalyst [6]. Meanwhile, transfer hydrogenation [12-17] has been developed to replace H₂ with organic compounds that can be derived from various resources such as biomass, CO₂, and fossil fuels. Recently, the transfer hydrogenation of nitrobenzene has been realized using Pd [18–21] or Co [22–25] NPs as the catalyst and formic acid or ammonium formate as the source of hydrogen. Similar reactions can also be achieved using various metal complexes as the catalyst [26,27]. Nevertheless, the transfer hydrogenation of nitrobenzene can be performed without a transition metal catalyst and with NaOH, albeit with a low selectivity for aniline [16,28].

The stability and activity of nanoparticle (NP) catalysts are affected by surface ligands and stabilizers [29–31]. Reactive intermediates can coordinate with the NP surface atoms. Therefore, the surface chemistry of ligand and stabilizers is crucial for the rational design of NP catalysts and elucidating the mechanisms. The characterization of these ligands and stabilizers remains a great challenge [29–31].

In this work, we characterized the surface ligands and stabilizers on Ir NPs stabilized by polyvinylpyrrolidone (PVP). The NP stability, reactivity, and catalysis were investigated for the transfer hydrogenation of acetophenone and nitrobenzene. Although Ir NPs are active for catalytic hydrogenation using H₂ [32–38], the transfer hydrogenation of nitrobenzene was rarely studied [13].

2. Results and Discussion

We reproduced the literature synthesis of PVP-stabilized metallic Ir NPs (**PVP**[**Ir**]) using IrCl₃·3H₂O in the presence of PVP in an aqueous alcohol solvent under N₂ at 110 °C [39,40]. The alcohol is the reducing agent that converts Ir(III) to Ir(0). The synthesis in methanol [39] was used for the 7 ± 1.1 nm NPs with a high surface area and a narrow size distribution. The powder X-ray diffraction (PXRD), UV-vis, and FT-IR spectra of **PVP**[**Ir**] corroborated the original report [39]. A broad, amorphous reflection was observed in the PXRD analysis. No crystalline Ir reflections were detected, [41] which is consistent with amorphous NPs. A strong UV-vis absorption near 200 nm was assigned to the n-to- π^* transition [42] of the γ -lactam moiety in PVP. The lack of an absorption at 400 nm is consistent with the complete conversion of the starting material (IrCl₃·3H₂O). The strong lactam C=O stretch at 1630 cm⁻¹ was observed in the FT-IR analysis (Figure S1 in Supplementary Materials). **PVP**[**Ir**] powder was stable against aggregation for 3 months and can be readily redispersed in water, methanol, ethanol, and 2-propanol. Details of the experiments are described in the Supplementary Materials.

To establish the complete composition of **PVP[Ir]**, we performed elemental analysis (EA) and high-resolution X-ray photoelectron spectroscopy (XPS) analysis. Ir ($33.8 \pm 0.4\%$), C ($21.59 \pm 1.23\%$), H ($3.97 \pm 0.41\%$), and N ($4.29 \pm 0.26\%$) were detected by EA. These elements were attributed to the NP core and PVP stabilizer, which accounted for $63.66 \pm 2.32\%$ of the total mass. The C-to-N ratio of 5.9:1.0 in **PVP[Ir]** matched the 6:1 ratio in PVP. The H-to-N ratio of 12.9:1.0 is 43% higher than the 9:1 ratio in PVP. The excess hydrogen could be due to water, hydroxide ions, and surface hydride [29].

The other 36.34% of the mass was attributed to Na, O, and Cl, which were detected in an XPS survey spectrum. Sodium and chloride originated from NaOH and $IrCl_3 \cdot 3H_2O$, which were used in the synthesis of **PVP[Ir**]. Chloride ions likely existed as Derjaguin–Landau–Verwey–Overbeek (DLVO) stabilizers [29,30] on the NPs with sodium as the counterions. No significant (<5%) NaCl crystalline phase was detected in the PXRD analysis of **PVP[Ir**], which is consistent with the absence of a separate crystalline NaCl phase as an impurity. However, the presence of amorphous NaCl and NaOH impurities cannot be ruled out. Oxygen can come from PVP, water, air, or NaOH. Hydroxide ions can act as the surface ligands on Pt NPs [29,43]. The XPS analysis was performed using gold as the reference, which was sputtered on the sample, with an Au $4f_{7/2}$ peak [29] at 84.0 eV.

High-resolution XPS binding energy analysis was performed on the Ir $4f_{7/2}$ and $4f_{5/2}$ binding energies of freshly made **PVP[Ir]** (Figure S2 in Supplementary Materials). Ir(0) in 7% and IrO₂ in 93% were detected. The binding energies at 60.76 and 63.74 eV were attributed to Ir metal, where the corresponding binding energies are 60.8 ± 0.2 and 63.8 eV [41]. The binding energies at 61.96 and 64.94 eV are consistent with IrO₂ (61.9 and 64.9 eV) [41]. Ir₂O₃ (62.45 and 65.43 eV) [13] and IrCl₃

(62.5 and 65.5 eV) [41] were not observed. Together with the lack of the $IrCl_3$ absorption (400 nm) in the UV-vis analysis of **PVP[Ir]**, the presence of $IrCl_3$ impurity is ruled out. The XPS results suggest that **PVP[Ir]** consists of partially reduced IrO_2 NPs that were stabilized by PVP. The XPS spectra are available in the Supplementary Materials.

Methanol is a sustainable source of hydrogen that can be obtained from the CO_2 reduction, biomass fermentation, and syngas (H_2 and CO) [15,44]. Methanol can serve as a hydrogen donor for the catalytic hydrogenation of aldehyde, [45] imine [15], and nitroarene [26,46] using transition-metal complexes or Pd-Fe/Al₂O₃ [47]. Therefore, we studied the reactivity of **PVP**[Ir] toward methanol: PVP[Ir] was heated in a solution of KOH in methanol solution at 160 °C for 1 h. At the end of the reaction, a carbonyl stretch at 1875 cm⁻¹ was detected by the FT-IR analysis (Figure S3 in Supplementary Materials). No carbonyl stretch was observed in **PVP**[Ir] before the reaction with methanol (Figure S3 in Supplementary Materials), which ruled out the possibility that the metal carbonyl stretch was due to PVP[Ir]. PVP[Ir] was synthesized in methanol at a relatively low temperature of 110 °C, instead of 160 °C as in this reactivity study. This metal carbonyl is unlikely to originate from the Na, O, and Cl impurities in PVP[Ir]. This stretching frequency is consistent with a metal carbonyl (1750–2050 cm⁻¹) [48] but inconsistent with an iridium(0) carbonyl. A terminal iridium(0) carbonyl [49] (2025 cm^{-1}) and an Ir₄(CO)₁₂ cluster [50] (2029 and 2069 cm⁻¹) can be ruled out. The iridium(0) μ_2 -bridging carbonyl (1750 cm⁻¹) can also be ruled out [49]. Although stretching frequencies close to 1875 cm⁻¹ have been reported for μ_2 -bridging carbonyl ligands on functionalized tetrairidium carbonyl clusters (1800 to 1878 cm⁻¹), [51,52] the 1875 cm⁻¹ stretch cannot be assigned to such clusters due to the lack of any terminal carbonyl stretch. Overall, the coordination environment of this metal carbonyl is unclear. Surface metal carbonyl can poison a catalyst, [15,44] but it can also act as a NP stabilizer without the poisoning effect [29].

PVP[Ir] was used as a catalyst for the base-promoted transfer hydrogenation of acetophenone, which was a model reaction to identify an active hydrogen donor [12,16]. The nitrobenzene transfer hydrogenation can be complicated by the formation of side products (azobenzene, azoxybenzene) and is unsuitable for this purpose [16,47]. In an alcohol solvent with KOH, acetophenone was reduced to 1-phenylethanol at 160 °C in 1 h in the presence of 1% **PVP[Ir]** (Scheme 1 and Table S1 in Supplementary Materials). The reaction was performed in a high-pressure metal reactor and heated in an oven. This setup enabled the reaction to take place at a higher temperature than the normal boiling point of the solvent, thus shortening reaction time. The 1% catalyst loading was calculated using the mole of acetophenone and the mole of Ir, measured by EA, in 7.7 mg of **PVP[Ir]**.



Scheme 1. The transfer hydrogenation of acetophenone catalyzed by **PVP[Ir]** using alcohol as the source of hydrogen. The results and reaction conditions are described in Table S1 of Supplementary Materials.

With methanol as the source of hydrogen, no product formed, and unreacted acetophenone was recovered (entry 1 in Table S1). Using ethanol as the source of hydrogen but under otherwise identical conditions, 1-phenylethanol formed in 53% yield with **PVP[Ir]** and 19% yield without **PVP[Ir]** (entry 2). The product yield from the **PVP[Ir]**-catalyzed reaction is 34% higher than the one without. Using 2-propanol as the source of hydrogen, the reaction was highly efficient regardless of whether **PVP[Ir]** is present. Only quantitative product formation was observed (entry 3 in Table S1). Details of the experimental procedures are described in the Supplementary Materials. The product yields inversely correlated with the alcohol α -C-H bond strength [53]. The most reactive alcohol was 2-propanol, consistent with the related studies [14–16,28].

Given the significant reactivity of alcohols as the hydrogen source in the PVP[Ir]-catalyzed transfer hydrogenation of acetophenone, we studied the selectivity in the catalytic transfer hydrogenation of nitrobenzene under similar reaction conditions (Table 1). Ethanol was ineffective as the source of hydrogen for the nitrobenzene reduction (entry 1 in Table 1). Aniline was formed with only 5.3% yield, which is calculated by dividing the mole of aniline by the mole of nitrobenzene starting material. The partially reduced products azobenzene and azoxybenzene were formed in 1% and 7.1% yields, respectively. The selectivity of aniline to azobenzene and azoxybenzene (the dimers) was 0.65 to 1, favoring the dimer formation. The unreacted starting material was detected in 7% recovery yield, which suggests that 93% of the nitrobenzene has been converted. Dark red precipitates were found at the end of the reaction but were filtered out before the NMR analysis. The mass balance, which is calculated by adding together the aniline yield, the unreacted starting material recovery yield, and two times the yield of the dimers (two equivalents of nitrobenzene for one equivalent of dimer), is only 29%. This low mass balance suggests that 71% of the nitrobenzene starting material was converted to products that were not detectable by the ¹H{¹³C} NMR analysis. The lost 71% of the nitrobenzene starting material is attributed to the dark red precipitates that were filtered out before the NMR analysis. The precipitates could contain phenazine, a product from coupling nitrobenzene and aniline under basic conditions. [1] When 2-propanol was used as the source of hydrogen and under otherwise identical conditions (entry 2), the aniline yield increased drastically to 31%, and a reversal of selectivity occurred to favor aniline formation. A selectivity of 1.4:1 was observed. The unreacted starting material was recovered in 5% yield, and the mass balance was 84%. Dark red precipitates were again observed. In entry 3, the aniline yield decreased to 18%, and the selectivity reversed to 0.66:1 in a control experiment in the absence of PVP[Ir] but under otherwise identical conditions to those in entry 2. The unreacted starting material was recovered in 12% yield, and the mass balance was 84% (entry 3). **PVP[Ir]** was likely responsible for the higher yield and selectivity in the catalyzed reaction (entry 2) than those from the uncatalyzed reaction (entry 3).

NO ₂ NO ₂ NO ₂ KOH (1.4 mmol, 0.29 M) alcohol solvent (5.0 mL) 1 h and under N ₂ Nitrobenzene in a metal reactor Aniline (1.2 mmol, 0.24 M) 160°C							
Entry	Solvent	Aniline Yield ^a	AZB Yield ^a	AZXB Yield ^a	Aniline to Dimer Selectivity	Recovered SM ^b	Mass Balance ^c
1	Ethanol	5.30%	1%	7.10%	0.65:1	7%	29%
2	2-propanol	31%	14%	10%	1.4:1	5%	84%
3 ^d	2-propanol	18%	4%	23%	0.66:1	12%	84%
4 ^e	Methanol formic acid	66%	<1%	<1%	>66:1	40%	106%
5 ^{<i>d,e,f</i>}	Methanol formic acid	<1%	<1%	1%	N/A	$19\pm1\%$	22%

Table 1.	PVP[Ir] ir	the catalyt	tic transfer h	ydrogenation	of nitrobenzene	to aniline.
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Reaction conditions unless otherwise specified: Nitrobenzene in 1.2 mmol or 0.24 M, KOH in 1.43 mmol, an alcohol solvent in 5.0 mL, 160 °C, 1 h, under N₂, and with 1% catalyst loading (11 µmol), which is calculated by dividing the mole of Ir, obtained from elemental analysis, by the mole of nitrobenzene, 1.2 mmol. AZB and AZXB denote azobenzene and azoxybenzene, respectively. The dimer refers to azobenzene and azoxybenzene. N/A denotes not applicable. All percentages are % mole. ^{*a*} Yields are calculated based on the starting material, nitrobenzene in 1.2 mmol, using quantitative ¹H{¹³C} NMR analysis with *p*-xylene as an internal standard; ^{*b*} SM denotes starting material (nitrobenzene); ^{*c*} mass balance = % yield of aniline + 2 × % yield of the dimers + % recovery yield of nitrobenzene starting material; ^{*d*} control experiments without the Ir catalyst; ^{*e*} the solvent is composed of 5 mL methanol and 88%wt aqueous formic acid in 0.43 mL (12 mmol of formic acid, 2.2 M in the 5.43 mL combined solution); ^{*f*} repeated twice.

When a methanol solution of formic acid (2.2 M in methanol) was used as the source of hydrogen in entry 4, the **PVP[Ir]**-catalyzed reaction gave aniline in 66% yield at 160 °C in 1 h. No significant (<1%) side products (azobenzene and azoxybenzene) or dark red precipitates formed. Nitrobenzene

was recovered in 40% yield. The mass balance was 106%. The >100% mass balance is likely due to the \pm 10% error in the quantitative proton NMR analysis. Without **PVP[Ir]** and under otherwise identical conditions to entry 4, nearly no product formed, and the starting material was recovered in 19 ± 1% yield (entry 5). No carbonyl stretch at 1875 cm⁻¹ was detected by FT-IR in a reaction of **PVP[Ir]** and formic acid in methanol (Figure S4 in Supplementary Materials), in contrast to the reaction of **PVP[Ir]** and pure methanol. Both results of the FT-IR analyses are reproducible.

The high reactivity of formic acid cannot be rationalized in terms of its C-H bond strength (96.6 kcal/mol), which is higher than those of the α -C-H bonds of ethanol (95.9 kcal/mol), 2-propanol (94.8 kcal/mol), and propanol (95.5 kcal/mol) [53]. Propanol is present in <2% in the reagent-grade 2-propanol.

In general, formic acid is an efficient hydrogen donor because its dehydrogenation is exothermic ($\Delta H^{\circ} = -7.9 \text{ kcal/mol}$) [27,54,55]. The dehydrogenation of formic acid to CO₂ and H₂ is more thermodynamically favorable than the decarbonylation to CO and H₂O ($\Delta H^{\circ} = -3.0 \text{ kcal/mol}$) [27,54]. The formation of surface metal carbonyl with CO is a catalyst deactivation pathway, which is undesirable [15,44]. Selective dehydrogenation of formic acid to CO₂ and H₂ has been realized using homogeneous (ruthenium phosphine complexes) and heterogeneous catalysts (Ir/C and Pd/C) [27]. The selectivity for the dehydrogenation using Ir/C or Pd/C was close to 99%. In this work (entry 4 in Table 1), methanol was used as a solvent for the transfer hydrogenation using formic acid. The 88% formic acid solution contained 12% water, which can enhance the reactivity of methanol as a hydrogen donor [47]. Methanol was used as a hydrogen donor for the Pd-catalyzed nitroarene reduction in the presence of water. Water may facilitate the conversion of formaldehyde, the initial product of methanol dehydrogenation, [55] to formic acid [47].

In contrast, the dehydrogenation of methanol, ethanol, and 2-propanol to the corresponding aldehydes is endothermic [55–58]. Primary alcohols can be dehydrogenated to form aldehydes, which can undergo decarbonylation to form CO [59,60]. Methanol, ethanol, 1- and 2-propanol can undergo decarbonylation on a Pd metal surface to give CO and hydrocarbon [59]. Nevertheless, the formation of surface metal carbonyl does not necessarily poison a catalyst [29]. Although water can promote the catalytic hydrogenation of acetophenone using 2-propanol as the source of hydrogen [61,62], it is beyond the scope of this work to determine whether water can also promote 2-propanol for the catalytic nitrobenzene reduction.

The pressure was monitored over time for the catalytic transfer hydrogenation with formic acid at 160 °C (entry 4 in Table 1). The reaction was carried out in a high-pressure metal reactor equipped with a pressure gauge on a gage block assembly (Parr Instrument Company, Moline, IL, USA). Heating was carried out in a silicone oil bath that can withstand temperature up to 200 °C (Sigma-Aldrich, St. Louis, MO, USA). The pressure gauge (Wika Instrument, Lawrenceville, GA, USA) has a detection limit of 2–140 kg/cm² (1 kg/cm² = 0.98 bar). Since the pressure gauge can be damaged at elevated temperature, only the reaction vessel was fully submerged in the oil bath. As described in entry 1 in Table 2, the temperature of the oil bath decreased from 160 to 135 °C soon after submerging the reactor in the oil bath, which had been pre-heated to 160 °C. The pressure of the reaction was 4 ± 1 kg/cm². Fifteen minutes later (entry 4 in bold), the temperature and pressure rose to 160 °C and 20 \pm 1 kg/cm². Another fifteen minutes later (entry 7 in bold), the pressure reached the maximum of $24 \pm 1 \text{ kg/cm}^2$ and remained at this value till the end of the reaction (entries 7 to 15). This pressure cannot be fully accounted for by the vapor pressure of methanol solvent, which is 16 bar (17 kg/cm^2) at 160 °C [63]. The additional pressure of $7 \pm 1 \text{ kg/cm}^2$ could be attributed to the gases generated from the decomposition of formic acid. The dehydrogenation of formic acid is exothermic and can be catalyzed by Ir/C or Pd/C [27,54,55]. Therefore, we cannot rule out the possibility that H₂ and CO₂ gases were generated in situ from formic acid in the catalytic hydrogenation of nitrobenzene, where H₂ served as the source of hydrogen. Ruppert et al. reported the transfer hydrogenation of levulinic acid using formic acid as the source of hydrogen with a ruthenium nanoparticle catalyst. H₂, along with CO₂, CO, and CH₄, was generated in situ in this reaction [64].

Entry	Time (Hour/Minute)	Pressure (kg/cm ²)	Temperature (°C)
1	0:00	4	135
2	0:05	9	140
3	0:10	18	146
4	0:15	20	160
5	0:20	22	160
6	0:25	23	160
7	0:30	24	160
8	0:35	24	160
9	0:40	24	160
10	0:45	24	160
11	0:50	24	160
12	0:55	24	160
13	1:00	24	160
14	1:05	24	160
15	1:10	24	160

Table 2. Pressure over time for the catalytic transfer hydrogenation of nitrobenzene with formic acid.

3. Conclusions

The 7 \pm 1.1 nm **PVP[Ir]** NPs were partially reduced iridium oxide NPs with a 33.8 \pm 0.4% Ir content. The XPS binding energy analysis suggests that 7% of the iridium is metallic, and 93% is IrO₂. Using alcohol as the source of hydrogen, the **PVP[Ir]**-catalyzed transfer hydrogenation of nitrobenzene was inefficient and unselective. In contrast, formic acid was superior to dry alcohol as the hydrogen source for the **PVP[Ir]**-catalyzed nitrobenzene reduction. **PVP[Ir]** catalyzed the selective transfer hydrogenation of nitrobenzene to aniline in 66% yield using formic acid as the source of hydrogen. No significant side products (azobenzene and azoxybenzene) formed in this reaction.

Supplementary Materials: The following are available online at http://www.mdpi.com/2624-8549/2/4/61/s1, Figure S1: FT-IR spectrum of **PVP[Ir]**, Figure S2: Binding energy analysis on the Ir 4f_{7/2} and 4f_{5/2} peaks of **PVP[Ir]**, Figure S3: **PVP[Ir]** before (grey) and after (red) the decarbonylation of methanol, Figure S4: **PVP[Ir]** before (grey) and after (red) the reaction with formic acid in methanol, Table S1: The **PVP[Ir]**-catalyzed transfer hydrogenation of acetophenone to 1-phenylethanol.

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Conflicts of Interest: There are no conflicts to declare.

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